

## CIPL and UK Information Commissioner's Office (ICO) Joint Roundtable on the ICO Auditing Framework for AI - Under Chatham House Rule -

Hunton Andrews Kurth LLP, Meeting Rooms 3 & 4  
30 St Mary Axe  
London EC3A 8EP

18 September 2019 | 10:00 – 16:00

### AGENDA

*In the context of the ICO consultation on an auditing framework for AI, leaders and experts from CIPL member companies will discuss three selected topics. Each session will be introduced by the ICO and provocateurs and moderated by CIPL. Lunch will be served during the discussions.*

10:00      **Registration and Coffee**

10:15      **Opening Remarks**

- ❖ **Bojana Bellamy**, President, Centre for Information Policy Leadership
- ❖ **Ali Shah**, Head of Technology Policy, ICO

10:30      **Session 1: Accountable AI Procurement**

*In this session, CIPL members will discuss the risks and challenges of using third party developed and trained AI. Topics for discussions will include for instance:*

- *How do the concepts of controller and processor fit in the context of AI and with the notions of developer/vendor? User/buyer?*
- *What does supplier due diligence look like in the AI supply chain?*
- *How to address the risks of relying on third-party developed AI systems?*
- *What is the chain of accountability?*

- ❖ Moderator: Bojana Bellamy, President, Centre for Information Policy Leadership
- ❖ Topic introduction: ICO
- ❖ Provocateur: Daniel Schönberger, Head of Legal Switzerland & Austria, Google

12:00      **Lunch**

13:00      **Session 2: AI Data Protection Impact Assessments (DPIAs)**

*In this session, CIPL members will discuss how organisations can use DPIAs in AI projects and put in place the appropriate governance structure. Topics for discussions will include for instance:*

- *What is the appropriate risk assessment framework?*
- *Should the GDPR DPIA be adapted to AI contexts?*
- *Should both risks and benefits be balanced and how?*

- *At which stage should a DPIA take place (training phase, use phase)?*

- ❖ Moderator: Fred Cate, Senior Policy Advisor, Centre for Information Policy Leadership
- ❖ Topic introduction: ICO
- ❖ Provocateur: Steve May, EU Data Protection Officer, Microsoft

14:30

### **Session 3: Data Minimization and Purpose Limitation**

*In this session, CIPL members will discuss how these fundamental data protection principles have to be interpreted in the AI context. Topics for discussions will include for instance:*

- *How to implement these principles during the algorithmic training phase?*
  - *Is the training of an AI model a specific distinct purpose?*
  - *How do these principles also apply during the subsequent use of the trained algorithm?*
- ❖ Moderator: Fred Cate, Senior Policy Advisor, Centre for Information Policy Leadership
  - ❖ Topic introduction: ICO
  - ❖ Provocateur: Vivienne Artz, Chief Privacy Officer, Refinitiv

16:00

### **End of Roundtable**